

2/9/01
PATENT

Attorney's Docket No. 1609.1001

Applicant or Patentee: Hitoshi IWASAKA et al.

Serial or Patent No.: _____ Confirmation No.: _____

Filed or Issued: _____

For: NON-CONTACTING CONVEYANCE EQUIPMENT

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 C.F.R. 1.27(a) and 13 C.F.R. Part 121 -- SMALL BUSINESS
CONCERN (37 C.F.R. 1.27(a)(2))**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN _____

ADDRESS OF CONCERN _____

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 37 C.F.R. 1.27(a)(2) and 13 C.F.R. Section 121.802, the latter cited in 37 C.F.R. 1.27(a)(2)(ii), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that (a) the number of employees of the concern, including those of its affiliates, does not exceed 500 persons and (b) the concern has not assigned, granted, conveyed, or licensed (and is under no obligation to do so) any rights in the invention to any person who made it and could not be classified as an independent inventor, or to any concern which would not qualify as a non-profit organization or a small business concern under this section. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third-party or parties controls or has the power to control both.

I hereby declare that all rights under contract or law have been conveyed to, and remain with, the small business concern identified above with regard to the invention, entitled Non-Contacting Conveyance Equipment

by inventor(s) Hitoshi IWASAKA
Hideyuki TOKUNAGA

described in

- ☒ the specification filed herewith.
☐ application serial no. _____ confirmation no. _____, filed _____

[] patent no. _____, issued

and I hereby declare that all rights under contract or law have been conveyed to and remain with the small business with regard to the above identified invention. Further, I declare that this nonprofit organization indicated above has not assigned, granted, conveyed, or licensed and there is no obligation of the small business to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 C.F.R. 1.27(a)(1) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 C.F.R. 1.27(a)(2) or a nonprofit organization under 37 C.F.R. 1.27(a)(3).

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small business entity is no longer appropriate. (37 C.F.R. 1.27(g)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Hitoshi IWASAKA

TITLE President

ORGANIZATION Harmotec Co., Ltd.

ADDRESS OF PERSON SIGNING c/o Harmotec Co., Ltd.

610 Shimo-Imasuwa, Shiranemachi

Naka-Kyoma-gun, Yamanashi-ken, Japan

SIGNATURE Hitoshi Iwasaka Date: August 4, 2001